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PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	00-586
First Inventor	McCarty et al.
Title	METHODS AND APPARATUS FOR BOOTING A HOST ADAPTER DEVICE DEVOID OF NONVOLATILE PROGRAM MEMORY
Express Mail Label No.	EL758701828US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 26]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages 2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)  
Prior application information: Examiner \_\_\_\_\_

of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

24319

or ☐ Correspondence address below

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Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Daniel N. Fishman

Registration No. (Attorney/Agent)

35,512

Signature

Date

20 July 2001

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# FEE TRANSMITTAL

## for FY 2001

*Patent fees are subject to annual revision.*


**Complete if Known**

<b>Application Number</b>	unknown
<b>Filing Date</b>	20 July 2001 (herewith)
<b>First Named Inventor</b>	McCarty et al.
<b>Examiner Name</b>	unknown
<b>Group / Art Unit</b>	unknown
<b>Attorney Docket No.</b>	00-586

<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\$)</b>	<b>910</b>
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METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)					
<b>1.</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:					<b>3. ADDITIONAL FEES</b>					
Deposit Account Number	12-2252				Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
Deposit Account Name	LSI Logic Corporation				105	130	205	65	Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27					127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
<b>2.</b> <input type="checkbox"/> Payment Enclosed:					139	130	139	130	Non-English specification	
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other					147	2,520	147	2,520	For filing a request for reexamination	
					112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
					115	110	215	55	Extension for reply within first month	
					116	390	216	195	Extension for reply within second month	
					117	890	217	445	Extension for reply within third month	
					118	1,390	218	695	Extension for reply within fourth month	
					128	1,890	228	945	Extension for reply within fifth month	
					119	310	219	155	Notice of Appeal	
					120	310	220	155	Filing a brief in support of an appeal	
					121	270	221	135	Request for oral hearing	
					138	1,510	138	1,510	Petition to institute a public use proceeding	
					140	110	240	55	Petition to revive – unavoidable	
					141	1,240	241	620	Petition to revive – unintentional	
					142	1,240	242	620	Utility issue fee (or reissue)	
					143	440	243	220	Design issue fee	
					144	600	244	300	Plant issue fee	
					122	130	122	130	Petitions to the Commissioner	
					123	130	123	130	Petitions related to provisional applications	
					126	180	126	180	Submission of Information Disclosure Stmt	
					581	40	581	40	Recording each patent assignment per property (times number of properties)	40
					146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
					149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
					179	710	279	355	Request for Continued Examination (RCE)	
					169	900	169	900	Request for expedited examination of a design application	
					Other fee (specify) _____					
<b>1. BASIC FILING FEE</b>					<b>FEE CALCULATION</b>					
Large Entity Fee Code	Entity Fee (\$)	Small Entity Fee Code	Entity Fee (\$)	Fee Description	Fee Paid					
101	710	201	355	Utility filing fee	710					
106	320	206	160	Design filing fee						
107	490	207	245	Plant filing fee						
108	710	208	355	Reissue filing fee						
114	150	214	75	Provisional filing fee						
<b>SUBTOTAL (1)</b>					(\$ 710)					
<b>2. EXTRA CLAIM FEES</b>										
Total Claims	22	-20	=	2	X	80	=	160		
Independent Claims	3	-3	=	0	X	18	=	0		
Multiple Dependent			X		=	0				
<b>Large Entity Fee Code    Entity Fee (\$)    Small Entity Fee Code    Entity Fee (\$)    Fee Description</b>										
103	18	203	9	Claims in excess of 20						
102	80	202	40	Independent claims in excess of 3						
104	270	204	135							

\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Daniel N. Fishman	Registration No. Attorney/Agent	35,512	Telephone	(303) 546-1383
Signature				Date	20 July 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor    McCarty et al.

Title    METHODS AND APPARATUS FOR BOOTING A HOST  
ADAPTER DEVICE DEVOID OF NONVOLATILE PROGRAM  
MEMORY

Atty Docket Number    00-586

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

20 July 2001

Date



Signature

Daniel N. Fishman

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FOUO 2001-07-20